



PATENT Docket No. *

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Rimas T. Lukas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Thomas M. BAER et al.

Serial No.:

10/827,151

Filing Date:

April 19, 2004

For:

TRANSFER FILM FOR LASER

MICROCAPTURE

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Commissioner:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached PTO-1449 Forms. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/788,117, filed February 16, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R.

§1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

	This In	formation Disclosure Statement is submitted:
	With	the application; accordingly, no fee or separate requirements are required.
\boxtimes	With	in three months of the application filing date or before mailing of a first Office
	Actio	n on the merits; accordingly, no fee or separate requirements are required.
	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Actio	n or Notice of Allowance.
		A fee is required. A check in the amount of * is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the
		amount of * is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal
		form (PTO/SB/17 is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Forms PTO-1449, and/or PTO/SB/08A indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time. The Office is invited to contact the applicant's representative at the number below to facilitate prosecution of this application.

Dated: Ju

June 22, 2004

Respectfully submitted,

By:

Rimas T. Lukas

Registration No. (46,451)

Lukas IP Group P.O. Box 3295

Half Moon Bay, CA 94019 Telephone: (650) 560-0076

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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number. Application Number 10/827,151 TRANSMITTAL Filing Date April 19, 2004 **FORM** First Named Inventor Thomas M. BAER Art Unit (to be used for all correspondence after initial filing) Not yet assigned **Examiner Name** Not yet assigned Attorney Docket Number ARC012001301 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application **Proprietary Information** Power of Attorney, Revocation Change of Correspondence Address Status Letter Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): --Forms PTO-1449 --8 pages Request for Refund **Express Abandonment Request** --Return Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Rimas T. Lukas (Reg. No. 46,451) Individual name

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

<u>of</u> 1

Complete if Known						
Application Number	10/827,151					
Filing Date	April 19, 2004					
First Named Inventor	Thomas M. BAER					
Art Unit	Not yet assigned					
Examiner Name	Not yet assigned					
Attorney Docket Number	ARC012001301					

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No. ¹		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (il known)}			riguics Appear
		^{US-} 4,857,399	08-18-1992	MUELLER	1
		^{US-} 5,139,831	08-15-1989	VICIK	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Form PTQ 1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

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Docket	Number	ARC012001301	

Application Number 10/827,151

Applicant

Thomas M. BAER et al.

Filing Date April 19, 2004

Group Art Unit Not yet assigned

Mailing Date June 22, 2004

LLS PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/25/1986	4,624,915	Schindler et al.			
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_	3.	04/13/1993	5,202,230	Kamentsky			
	4.	12/26/1995	5,479,252	Worster et al.			
	5.	07/30/1996	5,541,064	Bacus et al.		-	
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	16.	02/10/2000	WO 00/06992 A1	WIPO				
	17.	05/30/1991	WO 91/07683 A1	WIPO				
	18.	08/14/1997	196 03 996 A1	Germany				X

OTHER DOCUMENTS

tincluding author, title, Date, Pertinent Pages, Etc.)

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PTO/SB/ 08 (2-92) pa-664326

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/08 (2-92)

Sheet 2 of 2 Form PTO-1449 Application Number 10/827,151 Docket Number ARC012001301 INFORMATION DISCLOSURE CITATION Applicant Thomas M. BAER et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date April 19, 2004 Group Art Unit Not yet assigned Mailing Date June 22, 2004 **Initials** No. 19. English Abstract for German Patent DE 196 03 996 A1 retrieved in Dialog database in file 12 on December 12, 2001. DATE CONSIDERED: **EXAMINER:** EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTC 1449 FORMATION DISCLOSURE CITATION N AN APPLICATION

(Use several sheets if necessary)

Docket Number ARC012001301	Application Number 10/827,151			
Applicant				
Thomas M	BAFR et al			

Filing Date April 19, 2004 Group Art Unit Not yet assigned

Mailing Date June 22, 2004

ILS PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/17/1979	4,149,803	Litz			
	2.	01/13/1981	4,245,003	Oransky et al.			
	3.	06/08/1982	4,333,983	Allen			
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EXAMINER:

DATE CONSIDERED:

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Form PTO-1449				Docket Number ARC012001301 Application Number 10/827,151					
INFOR	INFORMATION DISCLOSURE CITATION IN AN APPLICATION				Applicant Thomas M. BAER et al.				
	(Use several sheets if necessary)				Filing Date April 19, 2004 Group Art Unit Not yet assigned				igned
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	27.	02/14/1997	USSN 08/800,882	Bae	r et al.				
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	49	04/08/1997	09 095657	Japa	n			Abstract	
	50.	09/08/1995	WO 95/23960 A1	WII	20				
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Form PTO-1449					Docket Number ARC012001301 Application Number 10/827,151				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				Applicant Thomas M. BAER et al.					
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				Mailing Date June 22, 2004					
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	57.	11/16/2000	WO 00/68662 A1	WI	PO				
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EXAMI	VER:				DATE CONSIL	DERED:			
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PTO/SB/08 (2-92) Sheet 4 of 5

Form PTO-1449		Docket Number ARC012001301	Application Number 10/827,151			
	ION DISCLOSURE CITATION AN APPLICATION	Applicant Thomas M. BAER et al.				
n	lse several sheets if necessary)	Filing Date April 19, 2004	Group Art Unit Not yet assigned			
		Mailing Date June 22, 2004				
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87.	Sinclair, Bob (November 8, 1999). "The science" The Scientist 13(22):17, pp. 1		nipulation tools for cell			
EXAMINER:		DATE CONSIDERED:				
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.						

	_	•	PTO/SB/08 (2-92 Sheet 5 of		
Form PTO-1449		Docket Number ARC012001301			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant			
		Thomas M. BAER et al.			
		Filing Date April 19, 2004	Group Art Unit Not yet assigned		
	·····	Mailing Date June 22, 2004			
88.	Tom Andrew S et al (Assert 1 10)	01) 611:-1 6 11 4	11 . 11 . 1 . 0 . 50		
00.	Tam, Andrew S. et al. (August 1, 19) mutations in aflatoxin B ₁ -induced materials.	ouse lung tumors" Cancer Research	ch 59:3634-3640.		
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EXAMINER:		DATE CONSIDERED:			

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